

AO 83 (Rev. 06/09) Summons in a Criminal Case

## UNITED STATES DISTRICT COURT

for the  
Southern District of TexasRECEIVED  
UNITED STATES MARSHAL

2023 FEB -9 PM 4:16

SOUTHERN DIST. S/TX

United States of America,

v.

Stefan Hrvatin

Defendant

Case No. 4:22-cr-0612-005

FEB 10 2023  
United States Court  
Southern District of Texas  
FILED

FEB 22 2023

## SUMMONS IN A CRIMINAL CASE

**YOU ARE SUMMONED** to appear before the United States district court at the time, date, and place set forth below to answer to one or more offenses or violations based on the following document filed with the court:

- ☐ Indictment    ☒ Superseding Indictment    ☐ Information    ☐ Superseding Information    ☐ Complaint  
☐ Probation Violation Petition    ☐ Supervised Release Violation Petition    ☐ Violation Notice    ☐ Order of Court

U.S. Courthouse  
Place: 515 Rusk St.  
Houston, TX

Courtroom No.: video conference

Date and Time: 2/16/2023 at 2:00 pm

This offense is briefly described as follows:

Ct. 1: Conspiracy To Commit Securities, Fraud [18 USC § 1349]  
Cts. 2-20: Securities Fraud [18 USC §§ 1348 & 2]

Contact the Pretrial Services Agency at (713) 250-5218 immediately after receipt of this summons.

Date: 2/08/2023

*A. Michael*  
A. Michael  
Printed name and title

I declare under penalty of perjury that I have:

☒ Executed and returned this summons☐ Returned this summons unexecutedDate: 02-13-2023

*David Shimko*  
Server's signature

David Shimko, Deputy U.S. Marshal  
Printed name and title

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 18-25238

## PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (d))

This summons for (name of individual and title, if any) Stefan Hrvatin  
 was received by me on (date) 02-10-23

☒ I personally served the summons on the individual at (place) 1300 S. Miami Ave  
#4710, Miami, FL on (date) 02-13-23; or

☐ I left the summons at the individual's residence or usual place of abode with (name) \_\_\_\_\_  
 \_\_\_\_\_, a person of suitable age and discretion who resides there,  
 on (date) \_\_\_\_\_, and mailed a copy to the individual's last known address; or

☐ I served the summons on (name of individual) \_\_\_\_\_, who is  
 designated by law to accept service of process on behalf of (name of organization) \_\_\_\_\_  
 on (date) \_\_\_\_\_; or

☐ I returned the summons unexecuted because \_\_\_\_\_; or

☐ Other (specify): \_\_\_\_\_

My fees are \$ 65.00 for travel and \$ 2.36 for services, for a total of \$ 67.36  
0.00

I declare under penalty of perjury that this information is true.

Date: 02-13-23

David Shimko  
 Server's signature

David Shimko, Deputy U.S. Marshal  
 Printed name and title

USMS  
400 N. Miami Ave. Miami, FL  
 Server's address

Additional information regarding attempted service, etc:

personally served Stefan Hrvatin